

# Between Tukey equivalence and Boolean automorphism

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# Outline

- ▶ Motivating pin equivalence from Tukey equivalence
- ▶ A representation theorem
- ▶ Separating pin equivalence from Tukey equivalence
- ▶ Separating pin equivalence from automorphism equivalence
- ▶ Between pin equivalence and automorphism equivalence

# Tukey equivalence in terms of joint embeddings

## Definition

- ▶ A poset is **directed** if every finite set has an upper bound.
- ▶ Subset  $C$  of poset  $P$  is **cofinal** if every  $p \in P$  has an upper bound in  $C$ .
- ▶ Two directed posets  $P, Q$  are **Tukey equivalent** if there is a poset  $D$  with cofinal subsets  $P', Q'$  order-isomorphic to  $P, Q$ .

## Claim

*Tukey equivalence is transitive.*

## Proof.

Suppose  $P \equiv_T Q \equiv_T R$  is witnessed by  $P', Q'$  cofinal in  $D$  and  $Q'', R''$  cofinal in  $E$ . Then let  $F = (D \cup E) / \sim$  where  $\sim$  identifies  $Q'$  and  $Q''$  and  $\leq_F$  is the transitive closure of  $\leq_D \cup \leq_E \cup \sim$ .  $\square$

# Directed posets may as well be Boolean ideals.

## Notation

- ▶  $A \downarrow x = \{a \in A \mid a \leq x\}$
- ▶  $A \uparrow x = \{a \in A \mid a \geq x\}$
- ▶  $A \downarrow B = \bigcup_{x \in B} A \downarrow x$
- ▶  $A \uparrow B = \bigcup_{x \in B} A \uparrow x$

## Claim

*For any set  $\mathcal{C}$  of directed posets, there a Boolean algebra  $A$  such that every  $P \in \mathcal{C}$  is Tukey equivalent to an ideal of  $A$ .*

## Proof.

Assume  $\mathcal{C}$  is pairwise disjoint. Let  $A$  be the Boolean algebra generated by set  $\bigcup \mathcal{C}$  and relations  $x \wedge y = x$  for  $x \leq_P y$  for  $P \in \mathcal{C}$ . Each  $P$  is cofinal in the ideal  $A \downarrow P$ . □

# Tukey equivalence in terms of poset automorphism

## Claim

Two ideals  $I, J$  of a Boolean algebra  $A$  are Tukey equivalent iff there is a poset  $(P, \leq_P)$  extending  $(A, \leq_A)$  and an order automorphism  $h$  of  $P$  mapping  $P \downarrow I$  onto  $P \downarrow J$ .

## Proof (sketch).

Suppose  $I, J$  have copies cofinal in poset  $C$ . Extend  $A$  to its Boolean completion  $B$ . Let  $P$  be  $B$  with  $I \setminus J, J \setminus I$  replaced by copies  $D, E$  of  $C$ . Let  $f: D \cong E$  and  $g = f \cup f^{-1}$ . Extend  $g$  to  $h: P \cong P$  as follows.

$$g(x) = \left[ x - \left[ \bigvee ((I \cup J) \downarrow x) \right] \right] \vee \left[ \bigvee f((I \cup J) \downarrow x) \right] \quad \text{for } x \notin I \cup J;$$

$$g(x) = \left[ \bigwedge f((I \setminus J) \uparrow x) \right] \wedge \left[ \bigwedge f((J \setminus I) \uparrow x) \right] \quad \text{for } x \in I \cap J. \quad \square$$

# Pin equivalence

## Claim (again)

Two ideals  $I, J$  of a Boolean algebra  $A$  are Tukey equivalent iff there is a poset  $(P, \leq_P)$  extending  $(A, \leq_A)$  and an order automorphism  $h$  of  $P$  mapping  $P \downarrow I$  onto  $P \downarrow J$ .

## Definition

Two ideals  $I, J$  of a Boolean algebra  $A$  are **pin equivalent** iff there is a Boolean algebra  $B$  extending  $A$  and a Boolean automorphism  $h$  of  $B$  mapping  $B \downarrow I$  onto  $B \downarrow J$ .

By the above claim, pin equivalence implies Tukey equivalence.

But we can also show this directly. If  $B, h$  witness  $I \equiv_{\text{pin}} J$ , then  $J$  is cofinal in  $B \downarrow J$  and  $I$  has a copy  $h(I)$  cofinal in  $B \downarrow J$ .

## Pin equivalence in topology

In topology, we are particularly interested in neighborhood filters of points or, equivalently, the ideals dual to these filters.

### Definition

Call two points  $a, b$  in a compact Hausdorff space  $X$  **pin equivalent** if there exist:

- ▶ a compact space  $Y$ ,
- ▶ a continuous surjection  $f: Y \rightarrow X$  invertible at  $a$  and  $b$ ,
- ▶ and a homeomorphism  $g: Y \rightarrow Y$  with  $g(f^{-1}(a)) = f^{-1}(b)$ .

By Stone duality, when  $X$  is zero-dimensional,  $a$  and  $b$  are pin equivalent iff the ideals  $I_a, I_b$  of clopen subsets of  $X \setminus \{a\}, X \setminus \{b\}$  are pin equivalent ideals of the clopen algebra of  $X$ .

## A representation theorem

### Theorem

*Points  $a, b$  in a compact Hausdorff space  $X$  are pin equivalent iff there is a closed symmetric binary relation  $R \subset X^2$  with domain  $X$  such that  $aRx \Leftrightarrow x = b$  and  $bRx \Leftrightarrow x = a$ .*

The above representation theorem is not so easy to express in terms of Boolean algebras.

### Corollary

*Pin equivalence is transitive.*

### Proof.

If  $R_1 \subset X^2$  witnesses  $a \equiv_{\text{pin}} b$  and  $R_2 \subset X^2$  witnesses  $b \equiv_{\text{pin}} c$ , then  $(R_1 \circ R_2) \cup (R_2 \circ R_1)$  witnesses  $a \equiv_{\text{pin}} c$ . □

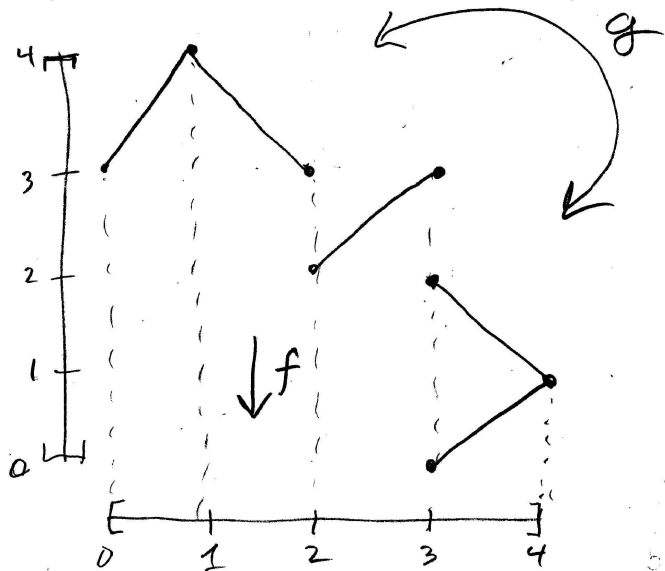
### Corollary

*In any first countable compact Hausdorff space, all non-isolated points are pin equivalent.*



## Example of the representation theorem

Let's see why 1 and 4 are pin equivalent in  $X = [0, 4]$ .



# Pin equivalence strictly implies Tukey equivalence, part I

Topologically speaking, weak  $P$ -points are only pin equivalent to other weak  $P$ -points. Algebraically speaking:

## Definition

An ideal  $I$  of a Boolean algebra  $A$  is **weak  $P$ -ideal** if, for any countable covering of  $I$  by ideals  $K_n$  of  $A$  for  $n < \omega$ , some  $K_m$  already contains  $I$ .

## Claim

*If  $I$  is a weak  $P$ -ideal of Boolean algebra  $A$  and  $I \equiv_{pin} J$ , then  $J$  is also a weak  $P$ -ideal of  $A$ .*

## Proof.

In a Boolean extension  $B$ , let  $h: B \cong B$  map  $B \downarrow I$  onto  $B \downarrow J$ . Suppose  $J \subset \bigcup_{n < \omega} K_n$ . Then  $I \subset \bigcup_{n < \omega} (A \downarrow h^{-1}(K_n))$ . Then  $I \subset A \downarrow h^{-1}(K_m)$  for some  $m$ . Then  $J \subset K_m$ . □

# Pin equivalence strictly implies Tukey equivalence, part II

## Definition

- ▶ An ideal  $I$  is a  **$P$ -ideal** if every countable subset of  $I$  has an upper bound in  $I$ .
- ▶ An ideal  $I$  is  **$\kappa$ -OK** if, for every  $a: \omega \rightarrow I$  there exists  $b: \kappa \rightarrow I$  such that for all  $n < \omega$  and all  $\xi_1 < \xi_2 < \dots < \xi_n < \kappa$ , we have

$$b(\xi_1) \vee \dots \vee b(\xi_n) \geq a_n.$$

## Theorem (Kunen, 1978)

$\mathcal{P}(\omega)/\text{Fin}$  contains a maximal ideal that is  $\aleph_1$ -OK but is not a  $P$ -ideal.

# Pin equivalence strictly implies Tukey equivalence, part III

## Theorem (Kunen, 1978)

$\mathcal{P}(\omega)/\text{Fin}$  contains a maximal ideal that is  $\mathfrak{c}$ -OK but is not a  $P$ -ideal.

## Corollary

There are weak  $P$ -ideals Tukey equivalent to ideals that are not weak  $P$ . In particular, Tukey equivalence does not imply pin equivalence.

## Proof.

- ▶  $\mathfrak{c}$ -OK implies  $\omega_1$ -OK implies weak  $P$ .
- ▶ All  $\mathfrak{c}$ -OK non- $P$  ideals of  $\mathcal{P}(\omega)/\text{Fin}$  are **Tukey-maximal**, that is, Tukey equivalent to  $[\mathfrak{c}]^{<\omega}$ .
- ▶ Fubini squares of Tukey-maximal ideals of  $\mathcal{P}(\omega)/\text{Fin}$  are Tukey-maximal.
- ▶ Fubini squares of non-principal ideals of  $\mathcal{P}(\omega)/\text{Fin}$  are not weak  $P$ .

# Generalizing from $\mathcal{P}(\omega)/\text{Fin}$

## Definition

- ▶ A Boolean algebra has the **countable separation property (CSP)** if every two countably generated ideals  $I, J$  with  $I \cap J = \{0\}$  extend to principal ideals  $I', J'$  with  $I' \cap J' = \{0\}$ .
- ▶ The compact Hausdorff spaces with the Stone dual of the CSP are called  **$F$ -spaces**.

$\mathcal{P}(\omega)/\text{Fin}$  has the CSP. But unlike  $\mathcal{P}(\omega)/\text{Fin}$ , not every Boolean algebra with the CSP has maximal ideals that are weak  $P$ . But I still can consistently produce pin inequivalence:

## Theorem

*Assume CH. Every Boolean algebra with the CSP has pin inequivalent maximal ideals.*

Question: Is the above theorem is true in ZFC? My proof doesn't need CH per se, but it does need a pair of Rudin-Keisler incomparable selective ultrafilters on  $\omega$ .

# Homeomorphism/automorphism types

## Definition

- ▶ Points  $a, b$  in a topological space  $X$  have the same **homeomorphism type** if there is a homeomorphism  $h: X \cong X$  such that  $h(a) = b$ .
- ▶ Dually, ideals  $I, J$  of a Boolean algebra  $A$  have the same **automorphism type** if there is a Boolean automorphism  $h: A \cong A$  such that  $h(I) = J$ .

## Theorem (Kunen)

*Every CSP Boolean algebra has maximal ideals with different automorphism types (without any assumptions beyond ZFC).*

# Pin equivalence: much coarser than homeomorphism type

## Example

There are points in the compact Hausdorff space  $2^\omega \times 2_{\text{lex}}^{\omega_1}$  with different  $\pi$ -characters, yet all these points are pin equivalent.

## Theorem

*If  $X$  is compact Hausdorff, then all points in  $X \times 2^{X(X)}$  are pin equivalent.*

## Corollary

*$(\beta\mathbb{N} \setminus \mathbb{N}) \times 2^c$  has pin equivalent points with different homeomorphism types.*

## Proof.

Kunen has shown that points with different homeomorphism types exist in any compact Hausdorff product of one or more  $F$ -spaces and zero or more first countable spaces. □

# Between pin equivalence and homeomorphism type

## Definition

- ▶ A subalgebra  $A$  of a Boolean algebra  $B$  is **relatively complete (rc)** if, for every principal ideal  $I$  of  $B$ , the ideal  $A \cap I$  of  $A$  is also principal.
- ▶ Ideals  $I, J$  of a Boolean algebra  $A$  are **rc-pin symmetric** if there is an rc Boolean extension  $B$  of  $A$  and an automorphism  $h: B \cong B$  such that  $h(B \downarrow I) = B \downarrow J$ .

The Stone dual of a relative complete Boolean embedding is an open continuous surjection. So, let **open pin symmetry** denote the Stone dual of rc-pin symmetry.

## Remark

*Unlike pin equivalence, open pin symmetry preserves  $\pi$ -character.*



# Open problems

## Question

*Does every Boolean algebra  $A$  extend to a Boolean algebra  $A'$  in which every two maximal ideals of  $A'$  have the same automorphism type?*

Kunen formulated this question topologically in 1990. Van Douwen formulated a special case (which is still open) c. 1970.

If we replace “have the same automorphism type” with “are pin equivalent,” then the answer is yes: extend  $A$  to a coproduct of  $A$  with a sufficiently large free Boolean algebra. This is the Stone dual of my result about  $X \times 2^{X(X)}$ .

What if we replace “have the same automorphism type” with “are rc pin symmetric”?