

# Reflecting cones on boolean algebras

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May 13, 2006

- A poset  $P$  is  $\kappa^{\text{op}}$ -like if  $\forall x \in P \quad |\uparrow x| = |\{y \in P : y \geq x\}| < \kappa$ .

- A *base* of a space  $X$  is a family  $\mathcal{B}$  of open sets such that

$$\forall p \in X \quad \forall U \text{ open } \ni p \quad \exists V \in \mathcal{B} \quad p \in V \subseteq U.$$

- For our purposes, all bases are ordered by inclusion. Also, all spaces are Hausdorff.

- The *weight*  $w(X)$  of  $X$  is

$$\min\{\kappa \geq \omega : \exists \mathcal{B} \text{ base of } X \quad |\mathcal{B}| \leq \kappa\}.$$

The *order weight*  $ow(X)$  of  $X$  is

$$\min\{\kappa \geq \omega : \exists \mathcal{B} \text{ base of } X \quad \mathcal{B} \text{ is } \kappa^{\text{op}}\text{-like}\}.$$

**Example.** Suppose  $X$  is a compact metric space. For each  $n < \omega$ , let  $\mathcal{B}_n$  be a finite cover by balls of radius  $2^{-n}$ . Then  $\bigcup_{n < \omega} \mathcal{B}_n$  is an  $\omega^{\text{op}}$ -like base of  $X$ ; hence,  $ow(X) = \omega$ .

- The *cellularity*  $c(X)$  of  $X$  is

$$\sup(\{\omega\} \cup \{\kappa : X \text{ has } \kappa\text{-many disjoint open sets}\}).$$

- **van Douwen's Problem.**  $c(X) \leq 2^{\aleph_0}$  for all known homogeneous compact  $X$ . Is there a counterexample? (After well over twenty years, this is still open in all models of ZFC.)
- Similarly,  $ow(X) \leq (2^{\aleph_0})^+$  for all known homogeneous compact  $X$ . Is there a counterexample? (After almost one year, this is still open in all models of ZFC.)
- Is there a connection between  $c(X)$  and  $ow(X)$ ?

- A compact space  $X$  is *dyadic* if it is a continuous image of  $2^\kappa$  for some  $\kappa$ .
- $c(X) = \omega$  for all compact dyadic  $X$ .
- $ow(X)$  can be arbitrarily large for compact dyadic  $X$ , but  $ow(X) \neq \omega_1$ . If  $X$  is also homogeneous, then  $ow(X) = \omega$ .
- In particular,  $ow(G) = \omega$  for every compact group  $G$ , for every compact group is homogeneous and dyadic (Kuzminov, 1959).

- A *local  $\pi$ -base* at a point  $p$  in a space  $X$  is a family  $\mathcal{B}$  of open sets such that

$$\forall U \text{ open } \ni p \quad \exists V \in \mathcal{B} \quad \emptyset \neq V \subseteq U.$$

The  *$\pi$ -character*  $\pi\chi(p, X)$  of  $p$  is

$$\min\{\kappa \geq \omega : \exists \mathcal{B} \text{ local } \pi\text{-base at } p \quad |\mathcal{B}| \leq \kappa\}.$$

- If  $X$  is homogeneous, compact, and dyadic, then  $\pi\chi(p, X) = w(X)$  for all  $p \in X$  (Gerlits, 1976).
- **Theorem 1.**  $ow(X) \neq \omega_1$  for all compact dyadic  $X$ . Moreover, if  $\pi\chi(p, X) = w(X)$  for all  $p \in X$ , then  $ow(X) = \omega$  and every base of  $X$  contains an  $\omega^{\text{op}}$ -like base.

Does Theorem 1 hold for any class of nondyadic compact spaces?

- A subset  $I$  of a boolean algebra is *independent* if, given any two disjoint finite subsets  $\sigma$  and  $\tau$  of  $I$ , we have  $\bigwedge \sigma \wedge \neg \bigvee \tau \neq 0$ .
- A boolean algebra is *free* if it is generated by an independent subset.
- A boolean algebra is free iff it is isomorphic to the algebra  $\text{Clop}(2^\kappa)$  of clopen subsets of  $2^\kappa$  for some  $\kappa$ . In particular,  $\text{Clop}(2^\kappa)$  is generated by the independent subset

$$\{\{f \in 2^\kappa : f(\alpha) = 1\} : \alpha < \kappa\}.$$

- A boolean algebra  $B$  *reflects cones* if, for all sufficiently large regular cardinals  $\theta$ , there is a countable language  $\mathcal{L}$  and an  $\mathcal{L}$ -expansion  $\langle H_\theta, \in, \dots \rangle$  of  $\langle H_\theta, \in \rangle$  such that

$$\forall M \prec_{\mathcal{L}} H_\theta \quad \forall p \in B \quad \exists \min(M \cap \uparrow p).$$

- Every free boolean algebra reflects cones.
- Denote the Stone dual of a boolean algebra  $B$  (*i.e.*, the space of ultrafilters of  $B$ ) by  $\text{st}(B)$ .

Example:  $\text{st}(\text{Clop}(2^\kappa)) \cong 2^\kappa$ .



- **Theorem 2.** Suppose  $B$  reflects cones and  $X$  is a continuous image of  $\text{st}(B)$ . Then  $ow(X) \neq \omega_1$ . Moreover, if  $\pi_\chi(p, X) = w(X)$  for all  $p \in X$ , then  $ow(X) = \omega$  and every base of  $X$  contains an  $\omega^{\text{op}}$ -like base.
- Suppose  $A$  and  $B$  be boolean algebras. Then  $\text{st}(B)$  is a continuous image of  $\text{st}(A)$  iff  $B$  is isomorphic to a subalgebra of  $A$ .
- Therefore, Theorem 2 is strictly stronger than Theorem 1 iff there exists a boolean algebra  $B$  such that
  - (\*)  $B$  reflects cones but is not a subalgebra of a free boolean algebra.

Is (\*) ever satisfied? Not by boolean algebras of size  $\leq \aleph_1$ . For larger boolean algebras, we have only partial results.

- A boolean algebra  $B$  *n-reflects cones* if, for all sufficiently large regular cardinals  $\theta$ , there is a countable language  $\mathcal{L}$  such that given any  $p \in B$  and  $\in$ -chain  $M_0, \dots, M_{n-1}$  satisfying  $M_i \prec_{\mathcal{L}} H_\theta$  for all  $i < n$ , there exists  $\min(A \cap \uparrow p)$ , where  $A$  is a subalgebra of  $B$  generated by  $B \cap \bigcup_{i < n} M_i$ .
- Free boolean algebras *n-reflect* cones for all  $n < \omega$ .
- If  $B$  *n-reflects cones* and  $|B| \leq \aleph_n$ , then  $B$  is a subalgebra of a free boolean algebra. If  $B$  *n-reflects cones* for all  $n < \omega$ , then  $B$  is a subalgebra of a free boolean algebra.

- In proving our results, the following lemma, which is based on a technique of Jackson and Mauldin, is heavily used.
- **Lemma.** Let  $\mathcal{L}$  be a countable language,  $\beta$  an ordinal,  $\theta$  a sufficiently large regular cardinal, and  $\langle H_\theta, \in, \dots \rangle$  an  $\mathcal{L}$ -expansion of  $\langle H_\theta, \in \rangle$ . Let  $\langle M_\alpha \rangle_{\alpha < \beta}$  satisfy

$$|M_\alpha| = \aleph_0 \text{ and } \langle M_\delta \rangle_{\delta < \alpha} \in M_\alpha \prec_{\mathcal{L}} H_\theta$$

for all  $\alpha < \beta$ . Then, for each  $\alpha < \beta$ , there is a finite  $\in$ -chain  $N_0, \dots, N_{k-1}$  such that

$$\bigcup_{i < k} N_i = \bigcup_{\delta < \alpha} M_\delta \text{ and } \forall i < k \quad M_\alpha \ni N_i \prec_{\mathcal{L}} H_\theta.$$

Moreover, if  $\beta \leq \omega_{n+1}$ , then we can get  $k \leq n + 1$ .

## References

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