

Box products and singular cardinals

David Milovich
Texas A&M International University
david.milovich@tamiu.edu
<http://www.tamiu.edu/~dmilovich/>

June 1, 2011
BLAST at Lawrence, KS

Acknowledgements

This is joint work with Menachem Kojman and Santi Spadaro.

Convention

All spaces are T_3 (regular and Hausdorff).

Some order properties

Definition

- ▶ A preorder P is **strongly κ -Noetherian** if every subset of size κ lacks a lower bound.
- ▶ A preorder P is **strongly κ -Artinian** if every subset of size κ lacks an upper bound.

Convention

Assume sets are ordered by \subseteq unless stated otherwise.

Examples

- ▶ A base \mathcal{B} of a topological space is strongly κ -Noetherian if and only if, for every subset \mathcal{A} of \mathcal{B} of size κ , $\bigcap \mathcal{A}$ has empty interior.
- ▶ The canonical base of 2^λ —the finitely supported boxes—is strongly \aleph_0 -Noetherian because a finite function has only finitely many subfunctions.

Examples

- ▶ A base \mathcal{B} of a topological space is strongly κ -Noetherian if and only if, for every subset \mathcal{A} of \mathcal{B} of size κ , $\bigcap \mathcal{A}$ has empty interior.
- ▶ The canonical base of 2^λ —the finitely supported boxes—is strongly \aleph_0 -Noetherian because a finite function has only finitely many subfunctions.
- ▶ X_δ is X with all G_δ -sets declared open.
- ▶ The canonical base of 2^λ_δ —the countably supported boxes—is strongly $(2^{\aleph_0})^+$ -Noetherian (when ordered by \subseteq) because a countable function has at most 2^{\aleph_0} -many subfunctions.

Examples

- ▶ A base \mathcal{B} of a topological space is strongly κ -Noetherian if and only if, for every subset \mathcal{A} of \mathcal{B} of size κ , $\bigcap \mathcal{A}$ has empty interior.
- ▶ The canonical base of 2^λ —the finitely supported boxes—is strongly \aleph_0 -Noetherian because a finite function has only finitely many subfunctions.
- ▶ X_δ is X with all G_δ -sets declared open.
- ▶ The canonical base of 2^λ_δ —the countably supported boxes—is strongly $(2^{\aleph_0})^+$ -Noetherian (when ordered by \subseteq) because a countable function has at most 2^{\aleph_0} -many subfunctions.
- ▶ (Malykhin) If \mathcal{B} is a base of a space X , then $X^{|\mathcal{B}|}$ has a strongly \aleph_0 -Noetherian base.

An incomplete analogy

Cellularity

- ▶ The cellularity $c(X)$ of X is the supremum of the sizes of pairwise disjoint families of open subsets of X .
- ▶ (Juhász) If X is countably compact, then $c(X_\delta) \leq 2^{c(X)}$.

An incomplete analogy

Cellularity

- ▶ The cellularity $c(X)$ of X is the supremum of the sizes of pairwise disjoint families of open subsets of X .
- ▶ (Juhász) If X is countably compact, then $c(X_\delta) \leq 2^{c(X)}$.

Noetherian type

- ▶ The Noetherian type $\text{Nt}(X)$ of X is the least infinite cardinal κ such that X has a strongly κ -Noetherian base.
- ▶ Assume GCH. If X is countably compact and $\text{cf}(\text{nt}X)$ is uncountable, then $\text{Nt}(X_\delta) \leq 2^{\text{Nt}(X)}$.
- ▶ What if we drop GCH? What if $\text{cf}(\text{Nt}(X)) = \omega$?

If we drop GCH

We can get by with weaker versions of GCH.

- ▶ If X is countably compact, $\text{cf}(\text{Nt}(X)) > \omega$, and $\lambda^{\aleph_0} \leq \text{Nt}(X)$ for all $\lambda < \text{Nt}(X)$, then $\text{Nt}(X_\delta) \leq 2^{\text{Nt}(X)}$.
- ▶ Similarly, if X is countably compact, $\text{Nt}(X) \leq \kappa$, and $\lambda^{\aleph_0} < \kappa$ for all $\lambda < \kappa$, then $\text{Nt}(X_\delta) \leq \kappa$.

If we drop GCH

We can get by with weaker versions of GCH.

- ▶ If X is countably compact, $\text{cf}(\text{Nt}(X)) > \omega$, and $\lambda^{\aleph_0} \leq \text{Nt}(X)$ for all $\lambda < \text{Nt}(X)$, then $\text{Nt}(X_\delta) \leq 2^{\text{Nt}(X)}$.
- ▶ Similarly, if X is countably compact, $\text{Nt}(X) \leq \kappa$, and $\lambda^{\aleph_0} < \kappa$ for all $\lambda < \kappa$, then $\text{Nt}(X_\delta) \leq \kappa$.

Some cardinal arithmetics lead to counterexamples.

- ▶ Let X be the one-point compactification of the discrete space of size \aleph_ω .
- ▶ (Gitik-Magidor) $2^{\aleph_0} < \aleph_\omega^{\aleph_0} = 2^{\aleph_{\omega+1}} = \aleph_{\omega+2}$ is consistent relative to a measurable κ with $o(\kappa) = \kappa^{++}$.
- ▶ Assuming the above cardinal arithmetic, $\text{Nt}(X) = \aleph_{\omega+1}$ and $\text{Nt}(X_\delta) = \aleph_{\omega+3} > 2^{\text{Nt}(X)}$.

If $\text{cf}(\text{Nt}(X)) = \omega$

- ▶ For simplicity, suppose X is of the form 2^λ , which implies $\text{Nt}(X) = \aleph_0$.
- ▶ $2_\delta^{\aleph_n}$ has an \aleph_1 -strongly Noetherian base, for all $n < \omega$.
- ▶ Does $2_\delta^{\aleph_\omega}$ have an \aleph_1 -strongly Noetherian base?

Combinatorially speaking

- ▶ $\text{Nt}(2_\delta^\lambda) \leq \kappa$ if and only if $[\lambda]^{\aleph_0}$ has a strongly κ -Artinian cofinal subset.
- ▶ A subset \mathcal{F} of $[\lambda]^{\aleph_0}$ is strongly κ -Artinian if and only if $|\bigcup \mathcal{A}| \geq \aleph_1$ for all $\mathcal{A} \in [\mathcal{F}]^\kappa$.

Combinatorially speaking

- ▶ $\text{Nt}(2_\delta^\lambda) \leq \kappa$ if and only if $[\lambda]^{\aleph_0}$ has a strongly κ -Artinian cofinal subset.
- ▶ A subset \mathcal{F} of $[\lambda]^{\aleph_0}$ is strongly κ -Artinian if and only if $|\bigcup \mathcal{A}| \geq \aleph_1$ for all $\mathcal{A} \in [\mathcal{F}]^\kappa$.

- ▶ For each $n < \omega$, $[\aleph_n]^{\aleph_0}$ has a strongly \aleph_1 -Artinian cofinal subset.
- ▶ What about $[\aleph_\omega]^{\aleph_0}$?

ZFC upper bounds on $\text{Nt} \left(2_{\delta}^{\aleph_{\omega}} \right)$

- ▶ (Easy) $\text{Nt} \left(2_{\delta}^{\aleph_{\omega}} \right) \leq (2^{\aleph_0})^+$.

ZFC upper bounds on $\text{Nt} \left(2_{\delta}^{\aleph_{\omega}} \right)$

- ▶ (Easy) $\text{Nt} \left(2_{\delta}^{\aleph_{\omega}} \right) \leq (2^{\aleph_0})^+$.
- ▶ $\text{Nt} \left(2_{\delta}^{\aleph_{\omega}} \right) \leq \aleph_4$.
- ▶ The proof uses a (max-pcf) scale of $\prod_{n \in \omega} \aleph_n$ modulo an ideal on ω , and club guessing on $\{\alpha < \omega_3 : \text{cf}(\alpha) = \omega_1\}$.

Conditional upper bounds

- ▶ $S_{\kappa}^{\lambda} = \{i < \lambda : \text{cf}(i) = \kappa\}$.
- ▶ The approachability ideal $I[\lambda]$ consists of the sets $S \subseteq \lambda$ for which there is a club $E \subseteq \lambda$ and a sequence \overline{C} such that
 - ▶ C_i is a cofinal subset of i for all $i < \lambda$.
 - ▶ C_i has order type $\text{cf}(i)$ for for all $i \in E$.
 - ▶ $\{C_i \cap j : j < i\} \subseteq \{C_j : j < i\}$ for all $i \in S \cap E$.

Conditional upper bounds

- ▶ $S_\kappa^\lambda = \{i < \lambda : \text{cf}(i) = \kappa\}$.
- ▶ The approachability ideal $I[\lambda]$ consists of the sets $S \subseteq \lambda$ for which there is a club $E \subseteq \lambda$ and a sequence \overline{C} such that
 - ▶ C_i is a cofinal subset of i for all $i < \lambda$.
 - ▶ C_i has order type $\text{cf}(i)$ for for all $i \in E$.
 - ▶ $\{C_i \cap j : j < i\} \subseteq \{C_j : j < i\}$ for all $i \in S \cap E$.
- ▶ If $\lambda = \text{cf}([\aleph_\omega]^{\aleph_0})$ and $S_\kappa^\lambda \in I[\lambda]$, then $\text{Nt}\left(2_\delta^{\aleph_\omega}\right) \leq \kappa$.
(Again, the proof uses a scale.)

Conditional upper bounds

- ▶ $S_\kappa^\lambda = \{i < \lambda : \text{cf}(i) = \kappa\}$.
- ▶ The approachability ideal $I[\lambda]$ consists of the sets $S \subseteq \lambda$ for which there is a club $E \subseteq \lambda$ and a sequence \overline{C} such that
 - ▶ C_i is a cofinal subset of i for all $i < \lambda$.
 - ▶ C_i has order type $\text{cf}(i)$ for all $i \in E$.
 - ▶ $\{C_i \cap j : j < i\} \subseteq \{C_j : j < i\}$ for all $i \in S \cap E$.
- ▶ If $\lambda = \text{cf}([\aleph_\omega]^{\aleph_0})$ and $S_\kappa^\lambda \in I[\lambda]$, then $\text{Nt}\left(2_\delta^{\aleph_\omega}\right) \leq \kappa$.
(Again, the proof uses a scale.)
- ▶ Hence, if \square_{\aleph_ω} and $\text{cf}([\aleph_\omega]^{\aleph_0}) = \aleph_{\omega+1}$, then $\text{Nt}\left(2_\delta^{\aleph_\omega}\right) = \aleph_1$.

Conditional upper bounds

- ▶ $S_\kappa^\lambda = \{i < \lambda : \text{cf}(i) = \kappa\}$.
- ▶ The approachability ideal $I[\lambda]$ consists of the sets $S \subseteq \lambda$ for which there is a club $E \subseteq \lambda$ and a sequence \bar{C} such that
 - ▶ C_i is a cofinal subset of i for all $i < \lambda$.
 - ▶ C_i has order type $\text{cf}(i)$ for all $i \in E$.
 - ▶ $\{C_i \cap j : j < i\} \subseteq \{C_j : j < i\}$ for all $i \in S \cap E$.
- ▶ If $\lambda = \text{cf}([\aleph_\omega]^{\aleph_0})$ and $S_\kappa^\lambda \in I[\lambda]$, then $\text{Nt}(2_\delta^{\aleph_\omega}) \leq \kappa$.
(Again, the proof uses a scale.)
- ▶ Hence, if \square_{\aleph_ω} and $\text{cf}([\aleph_\omega]^{\aleph_0}) = \aleph_{\omega+1}$, then $\text{Nt}(2_\delta^{\aleph_\omega}) = \aleph_1$.
- ▶ (Sharon-Viale) MM implies $S_{\omega_2}^{\aleph_{\omega+1}} \in I[\aleph_{\omega+1}]$.
- ▶ Therefore, MM implies $\text{Nt}(2_\delta^{\aleph_\omega}) \leq \aleph_2$.

Lower bounds

- ▶ (Easy) $\text{Nt} \left(2_{\delta}^{\aleph_{\omega}} \right) \geq \aleph_1$.

Lower bounds

- ▶ (Easy) $\text{Nt} \left(2_{\delta}^{\aleph_{\omega}} \right) \geq \aleph_1$.
- ▶ $(\lambda, \kappa) \rightarrow (\lambda', \kappa')$ means every structure \mathcal{A} with universe λ and countable signature has a substructure \mathcal{B} of cardinality λ' such that $|\kappa \cap \mathcal{B}| = \kappa'$.
- ▶ (Levinski-Magidor-Shelah) $\text{CC}_{\aleph_{\omega}}$, by which we mean $(\aleph_{\omega+1}, \aleph_{\omega}) \rightarrow (\aleph_1, \aleph_0)$, is consistent with ZFC+GCH, relative to a 2-huge cardinal.
- ▶ (Soukup) $\text{CC}_{\aleph_{\omega}}$ implies $\text{Nt} \left(2_{\delta}^{\aleph_{\omega}} \right) \geq \aleph_2$.

Lower bounds

- ▶ (Easy) $\text{Nt} \left(2_{\delta}^{\aleph_{\omega}} \right) \geq \aleph_1$.
- ▶ $(\lambda, \kappa) \twoheadrightarrow (\lambda', \kappa')$ means every structure \mathcal{A} with universe λ and countable signature has a substructure \mathcal{B} of cardinality λ' such that $|\kappa \cap \mathcal{B}| = \kappa'$.
- ▶ (Levinski-Magidor-Shelah) $\text{CC}_{\aleph_{\omega}}$, by which we mean $(\aleph_{\omega+1}, \aleph_{\omega}) \twoheadrightarrow (\aleph_1, \aleph_0)$, is consistent with ZFC+GCH, relative to a 2-huge cardinal.
- ▶ (Soukup) $\text{CC}_{\aleph_{\omega}}$ implies $\text{Nt} \left(2_{\delta}^{\aleph_{\omega}} \right) \geq \aleph_2$.
- ▶ More generally, $(\aleph_{\omega+1}, \aleph_{\omega}) \twoheadrightarrow (\aleph_{n-1}, \aleph_{n-2})$ implies $\text{Nt} \left(2_{\delta}^{\aleph_{\omega}} \right) \geq \aleph_n$.
- ▶ We don't know if it is consistent to have $n = 3$ or $n = 4$.

π -bases

- ▶ $\pi\text{Nt}(X)$ is the least κ such that X has a strongly κ -Noetherian π -base.
- ▶ $\pi\text{Nt}\left(2_{\delta}^{\aleph_{\omega}}\right) \leq \kappa$ if and only if there is a strongly κ -Artinian cofinal family of countable partial functions from \aleph_{ω} to 2.

π -bases

- ▶ $\pi\text{Nt}(X)$ is the least κ such that X has a strongly κ -Noetherian π -base.
- ▶ $\pi\text{Nt}\left(2_{\delta}^{\aleph_{\omega}}\right) \leq \kappa$ if and only if there is a strongly κ -Artinian cofinal family of countable partial functions from \aleph_{ω} to 2.
- ▶ If $2^{\aleph_0} > \aleph_{\omega}$, then $\pi\text{Nt}\left(2_{\delta}^{\aleph_{\omega}}\right) = \aleph_1$.
- ▶ $\text{CC}_{\aleph_{\omega}}$ is consistent with $2^{\aleph_0} > \aleph_{\omega}$ because ccc forcings preserve $\text{CC}_{\aleph_{\omega}}$.

π -bases

- ▶ $\pi\text{Nt}(X)$ is the least κ such that X has a strongly κ -Noetherian π -base.
- ▶ $\pi\text{Nt}\left(2_{\delta}^{\aleph_{\omega}}\right) \leq \kappa$ if and only if there is a strongly κ -Artinian cofinal family of countable partial functions from \aleph_{ω} to 2.
- ▶ If $2^{\aleph_0} > \aleph_{\omega}$, then $\pi\text{Nt}\left(2_{\delta}^{\aleph_{\omega}}\right) = \aleph_1$.
- ▶ $\text{CC}_{\aleph_{\omega}}$ is consistent with $2^{\aleph_0} > \aleph_{\omega}$ because ccc forcings preserve $\text{CC}_{\aleph_{\omega}}$.
- ▶ $\text{CC}_{\aleph_{\omega}}$ and $2^{\aleph_0} < \aleph_{\omega} < 2^{<\aleph_{\omega}}$ together imply $\pi\text{Nt}\left(2_{\delta}^{\aleph_{\omega}}\right) \geq \aleph_2$.
- ▶ Is $\text{CC}_{\aleph_{\omega}}$ consistent with $2^{\aleph_0} < \aleph_{\omega} < 2^{<\aleph_{\omega}}$?
- ▶ Adding \aleph_{ω} or more Cohen subsets of ω_1 destroys $\text{CC}_{\aleph_{\omega}}$.

π -bases

- ▶ $\pi\text{Nt}(X)$ is the least κ such that X has a strongly κ -Noetherian π -base.
- ▶ $\pi\text{Nt}\left(2_\delta^{\aleph_\omega}\right) \leq \kappa$ if and only if there is a strongly κ -Artinian cofinal family of countable partial functions from \aleph_ω to 2.
- ▶ If $2^{\aleph_0} > \aleph_\omega$, then $\pi\text{Nt}\left(2_\delta^{\aleph_\omega}\right) = \aleph_1$.
- ▶ $\text{CC}_{\aleph_\omega}$ is consistent with $2^{\aleph_0} > \aleph_\omega$ because ccc forcings preserve $\text{CC}_{\aleph_\omega}$.
- ▶ $\text{CC}_{\aleph_\omega}$ and $2^{\aleph_0} < \aleph_\omega < 2^{<\aleph_\omega}$ together imply $\pi\text{Nt}\left(2_\delta^{\aleph_\omega}\right) \geq \aleph_2$.
- ▶ Is $\text{CC}_{\aleph_\omega}$ consistent with $2^{\aleph_0} < \aleph_\omega < 2^{<\aleph_\omega}$?
- ▶ Adding \aleph_ω or more Cohen subsets of ω_1 destroys $\text{CC}_{\aleph_\omega}$.
- ▶ What happens to $\pi\text{Nt}\left(2_\delta^{\aleph_\omega}\right)$ in models of $\text{CC}_{\aleph_\omega} + \text{GCH}$?